

International Appl. No. PCT/IB04/01465

Intl. Filing Date: 06 April 2004

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**☐Declaration  
Submitted  
With Initial  
Filing

OR

☒Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)Attorney Docket  
Number

42965-P110US

First Named Inventor

Alistair Oakes

COMPLETE IF KNOWN

Application Number

10/552,495

Filing Date

Art Unit

Examiner Name

**I hereby declare that:**

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

IDENTIFICATION MEANS

(Title of the Invention)

the specification of which

☐

is attached hereto

OR

☒

was filed on (MM/DD/YYYY)

10/07/2005

as United States Application Number or PCT International

Application Number

10/552,495

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
PCT/IB2004/001465	WO	04/06/2004	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
0307980.3	GB	04/07/2003	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

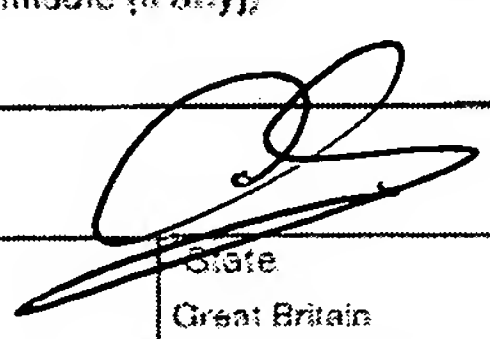
☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance completing the form, call 1-800-PTO-9199 and select option 2.

DECLARATION — Utility or Design Patent Application

Direct all correspondence to:	<input checked="" type="checkbox"/> The address associated with Customer Number:	45167	OR	<input type="checkbox"/> Correspondence address below
Name Winstead Sechrest & Minick P.C.				
Address P.O. Box 50784				
City Dallas		State Texas	ZIP 75201	
Country US	Telephone 214.745.5400		Fax 214.745.5390	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.				
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any)) Alistair		Family Name or Surname OAKES		
Inventor's Signature 			Date 15.6.06	
Residence: City Milton Keynes	State Great Britain	Country United Kingdom	Citizenship ZA	
Mailing Address 3 Hamburg Court Stanley Brook End				
City Milton Keynes	State Great Britain	Zip MK5 7EZ	Country UK	
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))		Family Name or Surname		
Inventor's Signature			Date	
Residence: City	State	Country	Citizenship	
Mailing Address				
City	State	Zip	Country	
<input type="checkbox"/> Additional inventors or a legal representative are being named on the supplemental sheet(s) PTO/SB/02A or OQLR attached hereto.				

ATTORNEY DOCKET NO.  
42965-P110US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:       Alistair Oakes  
Serial No:                   10/552,495  
Filing Date:               October 7, 2005  
Int'l Application No.       PCT/IB2004/001465  
Int'l Filing Date:         06 April 2004  
Group Art Unit:  
Title:                      IDENTIFICATION MEANS

**DESIGNATION OF DOMESTIC REPRESENTATIVE AND POWER OF ATTORNEY**

Ivy Trust, assignee of the patent application identified above, hereby appoints:

Sanford E. Warren, Jr.  
Edward E. Mickelson  
R. Scott Rhoades

Kelly K. Kordzik  
E.E. Richards  
Robert A. Voigt, Jr.

all of the firm of Winstead Sechrest & Minick, P.C., my attorneys with full power of substitution and revocation, to prosecute this registration, and to transact all business in the United States Patent and Trademark Office connected therewith, and as my Domestic Representative upon whom may be served notices or process in proceedings affecting this application, and to receive any and all papers, correspondence and notices relating thereto.

ATTORNEY DOCKET NO.  
42965-P110US

PATENT

Please direct all correspondence to:

Sanford E. Warren, Jr., Esq.  
WINSTEAD SECHREST & MINICK P.C.  
P.O. Box 50784  
Dallas, Texas 752501  
United States of America

and direct all telephone calls to Sanford W. Warren, Jr., at 214.745.5400 and all facsimile communications to 214.745.5390. Please refer to Attorney Docket No. 42965-P110US.

IVY TRUST

Dated: 23<sup>rd</sup> JUNE 2006

By: 

Printed: JOHN GRIMSHAW

Title: FOR & ON BEHALF OF NAUTILUS TRUSTEES LIMITED  
AS TRUSTEES OF THE IVY TRUST

Dallas\_1 4259198v.2

EXPIRY date 31.12.06

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Alistair Oakes

Application No./Patent No.: 10/552,495 Filed/Issue Date: October 7, 2005

Entitled: IDENTIFICATON MEANS

IVY TRUST, a Trust organized under the laws of Jersey, Channel Islands  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

John Grimshaw  
Signature

23rd JUNE 2006  
Date

JOHN GRIMSHAW  
Printed or Typed Name

00 44 1534 360000  
Telephone Number

FOR & ON BEHALF OF NAUTILUS TRUSTEES LIMITED AS of Ivy Trust  
Title TRUSTEES OF

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Expiry date 31.12.06

**ASSIGNMENT**

**WHEREAS**, the undersigned inventor(s), hereinafter called the "Assignor", has invented a new and useful invention entitled:

**IDENTIFICATION MEANS**

\_\_\_\_\_ for which reference a full description is here made in an application for Letters Patent of the United States filed herewith.

XX for which reference a full description is here made in an application for Letters Patent of the United States Serial No. 10/552,495 which entered the National Phase in the United States on October 7, 2005.

**WHEREAS, IVY TRUST.**, A trust organized under the laws of Jersey, Channel Islands, with an address of Nautilus House, La Cour de Casernes, St. Hellier, Jersey, Channel Islands, hereinafter called the "Assignee", is desirous of acquiring the entire right, title and interest in and to said invention, the application above identified, and in, to and under Letters Patent which may be obtained for said invention, as hereinafter more fully set forth;

**NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN**, be it known that for valuable and legally sufficient consideration, the receipt of which by the Assignor from the Assignee is hereby acknowledged, the Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the Assignee, its successors and assigns, the entire right, title and interest in and to the invention and the application herein above identified, and all Letters Patents that may issue for the said invention, and all divisions, reissues, substitutions, continuations, and extensions thereof, to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns to the full end of the term for which any and all of said Letters Patents for the said invention may issue.

**FURTHER**, be it known that the Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the Assignee, its successors and assigns, the entire foreign rights to the invention disclosed in said application, in all countries of the world, including the right to file applications and obtain patents under the terms of the International Convention for the Protection of Industrial Property, and of the European Patent Convention, and further agrees to execute any and all patent applications, assignments, affidavits, and any other papers in connection therewith necessary to perfect such patent rights.

And the Assignor does hereby covenant and agree, for himself and his legal representatives, that they will assist the Assignee in the prosecution of the application herein identified; in the making and prosecution of any other applications for Letters Patent that the Assignee may elect to make covering the invention herein identified, as herein before set forth, including any application for reissue, application for reexamination, application for foreign patent rights, or any proceeding in the United States Patent and Trademark Office affecting the



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PATENT

invention, investing in the Assignee exclusive title in and to all such other applications and Letters Patent; and in the prosecution of any interference which may arise involving said invention, or any application or Letters Patents herein contemplated; that they will promptly execute and deliver to the Assignee any and all additional papers and make all lawful oaths which may be requested by the Assignee to fully carry out the terms of this assignment; and further that they will communicate to Assignee, or to its successors, assigns, and legal representatives, any facts known respecting said invention, and at the expense of the Assignee, testify in any legal proceedings, and generally do everything possible to aid the Assignee, its successors, assigns and nominees to obtain and enforce proper patent protection for said invention in all countries.

And the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all Letters Patent to the Assignee in accordance with the terms of the assignment.

**IN TESTIMONY WHEREOF**, the Assignor has hereunto set his hands on the date indicated below.

ALISTAIR OAKES

Dated: 15.06.06



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